



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/771,113 Confirmation No. 2029  
Applicant : K. TAKEDA et al  
Filed : February 2, 2004  
Title : METHOD FOR ANALYZING PERFORMANCE  
INFORMATION  
TC/AU : 2189  
Examiner : K. Verbrugge  
Docket No. : ASA-5026  
Customer No.: 24956

Commissioner for Patents  
Mail Stop DD  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT (IDS)**  
**UNDER § 1.97 AND § 1.98**

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s)

consideration of this IDS, and provided herewith is a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed..

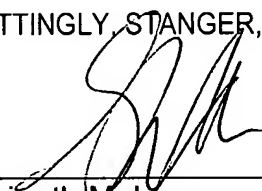
4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

5. No explanation of relevancy is being provided for the following document(s) because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application.

6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.

By   
Shrinath Malur  
Reg. No. 34,663  
(703) 684-1120



FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ASA-5026	SERIAL NO. 10/771,113
<b>LIST OF DOCUMENTS CITED BY APPLICANT</b> (Use several sheets if necessary)		APPLICANT K. TAKEDA et al	
		FILING DATE February 2, 2004	GROUP 2189

**U.S. PATENT DOCUMENTS**

* EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA	2002/ 0073290	06/13/02	Litvin		
	AB	2002/ 0103969	08/01/02	Koizumi et al.		
	AC	2002/ 0175938	11/28/02	Hackworth		
	AD	2003/ 0093619	05/15/03	Sugino et al.		
	AE	2003/ 0204583	10/30/03	Kaneda et al.		
	AF	2004/ 0153728	08/05/04	Suzuki et al.		
	AG	2004/ 0193827	09/30/04	Mogi et al.		
	AH	6,346,954	02/12/02	Chu et al.		
	AI	6,466,973	10/15/02	Jaffe		
	AJ	6,618,818	09/09/03	Wahl et al.		
	AK	6,671,776	12/30/03	DeKoning		

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	AL					<input type="checkbox"/>	<input type="checkbox"/>
	AM					<input type="checkbox"/>	<input type="checkbox"/>
	AN					<input type="checkbox"/>	<input type="checkbox"/>
	AO					<input type="checkbox"/>	<input type="checkbox"/>
	AP					<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Chiueh, T. et al, "Multi-Resolutiona Video Representation for Parallel Disk
	Arrays", ACM Press, 1993, pp. 401-409.
AS	Baek, S. et al, "Reliability and Performance of Hierarchial RAID with
	Multiple Controllers", ACM, 2001, pp. 246-254.
AT	

EXAMINER

DATE CONSIDERED

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
ASA-5026SERIAL NO.  
10/771,113**LIST OF DOCUMENTS CITED BY APPLICANT**  
(Use several sheets if necessary)APPLICANT  
K. TAKEDA et alFILING DATE  
February 2, 2004GROUP  
2189**U.S. PATENT DOCUMENTS**

* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA	6,910,102	06/21/05	Matsunami et al.			
	AB	6,959,360	10/25/05	Murotani et al.			
	AC	6,691,817	11/01/05	Soejima et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL						<input type="checkbox"/>	<input type="checkbox"/>
	AM						<input type="checkbox"/>	<input type="checkbox"/>
	AN						<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		
	AT		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.